# **Chapter 1 Plastid Transcription: A Major Regulatory Point in Chloroplast Biogenesis**



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**Abstract** Plastids are endosymbionts that retain their own, minimal genome that must be transcribed. This genome is known as the plastome. The host cell nucleus exerts tight control over the plastome to ensure the accurate timing of key developmental events, such as chloroplast biogenesis. Therefore, communication from the nucleus to the plastid, or anterograde signaling, is a vital step in plastome expression. Regulation of plastid transcription by the nucleus is tied to two DNA-dependent RNA polymerases that transcribe the plastome: the nuclear-encoded polymerase (NEP) and the plastid-encoded polymerase (PEP), which contains numerous nuclear-encoded PEP-associated proteins (PAPs). This chapter will cover the fundamentals and regulation of plastome transcription, with a focus on the role of plastid transcription in chloroplast biogenesis. In particular, the chapter will highlight the regulatory strategy of the nucleus to comprehensively control plastome gene expression through the assembly and activation of the PEP supercomplex, which is coordinated by both environmental and developmental factors.

**Keywords** Chloroplast · Organelle gene expression · Plastid-encoded polymerase (PEP) · PEP-associated proteins (PAP) · Sigma factors · Nuclear-encoded polymerase (NEP) · Anterograde signaling · Phytochrome · Phytochrome interacting factors (PIF)

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# **1.1 Becoming Green: Not as Easy as it Seems**

Emerging from its seed, a plant activates a sophisticated molecular network to develop green leaves. The greening of plants is due to the differentiation of a special plastid, the chloroplast. As a photosynthetic powerhouse, a factory for diverse chemical compounds, and an intricate signaling hub, the chloroplast is a critical organelle with a unique origin. The chloroplast arose from an endosymbiotic event that occurred over a billion years ago, where a photosynthetic, cyanobacterial-like ancestor was taken up by a eukaryotic cell (Mereschkowsky 1905; Sagan 1967; Martin and Kowallik 1999; Gould et al. 2008). Over evolutionary time, the cyanobacterial ancestor endosymbiont evolved into the plastids we see today, of which the chloroplast is only one of multiple specialized types (Kirk and Tilney-Bassett 1978).

As descendants of cyanobacteria, plastids maintain their own genomes (Ris and Plaut 1962; Sager and Ishida 1963), complete with transcriptional and translational activity (Kirk 1964; Zoschke and Bock 2018). However, this genome is subjected to nuclear control by the host cell, accomplished partially through the large-scale the biosynthesis of the photoprotection information to the nucleus (Arabidopsis Genome Initiative 2000; Kleine et al. 2009). Thus, a free-roaming bacterium transitioned to the modern-day chloroplast, with a minimal genome, known as the plastome, that contains less than 10% of the cyanobacterial genome (Martin and Herrmann 1998; Martin et al. 2002). With so many of its original genes transferred to the nucleus, and newly-evolved proteins targeted to the plastid, the chloroplast depends on protein import to obtain a majority of plastid-localized proteins (Abdallah et al. 2000; Kessler and Schnell 2009). Therefore, the chloroplast is genetically semi-autonomous, with all basic functions, such as transcription, translation, and photosynthesis, dependent on nuclear gene expression.

Similarly, chloroplast biogenesis during greening requires the interplay of both photosynthesis-associated nuclear genes (PhANGs) and plastome-encoded photosynthesis-associated genes (PhAPGs). For the purposes of this chapter, PhANGs are defined as a set of  $\sim$ 150 genes that were classified by Hwang et al. 2022, which directly relate to light harvesting complexes, chlorophyll biosynthetic enzymes, photosystem I and II complexes, electron transport, ATP synthase, and the Calvin cycle. During chloroplast biogenesis, PhANGs and PhAPGs are closely coordinated through signaling between the nucleus and the plastid, leading to the frequent synchronization of their expression. For this reason, PhANGs and PhAPGs are typically considered as coupled (Nott et al. 2006). The mirroring of the two genomes can be observed by applying chemical treatments to the chloroplast, such as lincomycin or norfurazon, to inhibit plastid translation or block the biosynthesis of the photoprotection pigment, carotenoid, respectively (Oelmüller 1989; Susek et al. 1993; Koussevitzky et al. 2007). By greatly disturbing chloroplast function and PhAPG transcription, PhANG transcription decreases in turn (Nott et al. 2006; Ruckle et al. 2012), demonstrating the dynamic communication between the plastid and the nucleus.

To achieve this tight coordination between the nucleus and the plastid, there must be an array of regulatory signals. From the plastid, retrograde signals update the nucleus on chloroplast functioning (Nott et al. 2006; de Souza et al. 2017). Meanwhile, from the nucleus, anterograde signals exert extensive infuence on chloroplast activity, especially by controlling plastome transcription (Yoo et al. 2020). Compared to retrograde signals, determining the identity of the anterograde signals has proved diffcult considering the sheer number of nuclear-encoded proteins essential for chloroplast functions. Nonetheless, in recent years, promising advances in this challenging feld have begun to reveal some possible nucleus-to-plastid anterograde mechanisms to control plastid transcription.

This chapter will consider both a plastid and a nuclear perspective to review the current theories surrounding the regulation of plastome transcription for accurate timing of chloroplast biogenesis. We will begin by exploring the plastome and the genes that remain there, then will pivot to discuss the DNA-dependent RNA polymerases involved in transcribing these genes. Finally, we will delve into the regulation of chloroplast transcription and biogenesis with an emphasis on how these processes are infuenced by light via photoreceptor signaling. In particular, we will highlight an important contrast between the strategies of transcriptional control in the nucleus and plastid: while the nucleus employs a gene-by-gene-based regulatory system, the plastid instead utilizes polymerase activity itself as a key point of control in plastome expression.

As a fascinating chimeric system, the chloroplast retains both its original bacterial machinery as well as learned eukaryotic methods to achieve regulated gene expression, creating a complex transcriptional landscape despite its overwhelmingly simple genome. This chapter will only focus on the importance of transcriptional regulation in the plastid, particularly during chloroplast biogenesis. However, it is worthwhile to note that post-transcriptional and post-translational processes are also critically important regulatory steps in plastid gene expression. For a review of these topics, we suggest a number of reviews (Stern et al. 2010; Barkan and Small 2014; Nawaz and Kang 2017; Zhao et al. 2020; Zeng et al. 2022; Zhang et al. 2023) and Chaps. 2 and 3 of this volume.

# **1.2 The Plastome: A Brief Review of Structure and Contents**

Refecting its small size, the plastome was one of the frst genomes to be fully sequenced using frst-generation sequencing approaches. The *Marchantia polymorpha* (liverwort) and *Nicotiana tabacum* (tobacco) plastomes were fully sequenced in the 1980s (Ohyama et al. 1986; Shinozaki et al. 1986) and were followed by the Arabidopsis plastome roughly a decade later (Sato et al. 1999). Generally, the plastome contains 100–200 genes, including rRNA, tRNA, and protein-coding genes. The plastid DNA displays a circular, quadripartite structure that is shared among both plant and algal lineages, with two single-copy regions and two inverted repeats, though there are some exceptions to this rule (Turmel et al. 2002; Wicke

et al. 2011). The inverted repeats provide duplicated regions to increase the transcription of highly abundant genes, including rRNA. Meanwhile, the two singlecopy regions encode genes associated with photosynthesis, carbon assimilation, and housekeeping. These two single-copy regions are distinguished as the large singlecopy region, which houses most of the photosynthesis-associated protein-coding genes, and the small single-copy region, which, at least for most land plants, is associated with *ndh* genes for the NAD(P)H dehydrogenase complex, a mediator of electron transport (Peltier et al. 2016; Shikanai 2016). However, *ndh* genes have been lost multiple times, such as in *Chlamydomonas* or in the highly-reduced plastomes of parasitic plants such as *Epifagus* (dePamphilis and Palmer 1990; Martín and Sabater 2010; Wicke et al. 2011). Finally, both regions encode numerous tRNAs to support plastid translation, including around 35 tRNA genes that represent all 20 amino acids (Sugiura et al. 1989; Sato et al. 1999; Mohanta et al. 2023).

Similar to bacterial genomes, the plastome is organized in defned operons, with the vast majority of plastid genes transcribed as polycistronic units (Sugita and Sugiura 1996; Shahar et al. 2019; Castandet et al. 2019). For example, the plastome encodes core genes for the two photosystems, photosystem I (designated as *psa* genes) and photosystem II (designated as *psb* genes), with each having unique light absorption spectra during photosynthesis (Wollman 2001). Many photosystemrelated genes are transcribed as polycistronic units, such as *psbD*-*psbC*, *psaA*-*psaB*, and *psbE*-*psbF*-*psbL*-*psbJ* (Sugita and Sugiura 1996). Similarly, many *ndh* genes locate to an operon with *psaC*, (*ndhD*-*psaC*-*ndhE*-*ndhG*-*ndhI*-*ndhA*-*ndhH*) (Kanno and Hirai 1993) and rRNA genes form an operon with some tRNAs (*rrn16*-*trnItrnA*-*rrn23*-*rrn4.5*-*rrn5*) (Strittmatter et al. 1985; Sugita and Sugiura 1996; Shahar et al. 2019). Only a minority of genes, such as the large subunit of Rubisco (*rbcL*), the critical reaction center D1 protein of photosystem II (*psbA*), and many tRNAs, are considered monocistronic (Sugita and Sugiura 1996; Shahar et al. 2019).

# **1.3 What Transcribes the Plastome?**

On its own, the plastome cannot fully achieve transcription. The chloroplast relies on the nucleus to provide many necessary factors for plastome transcription. For this reason, plastid protein import and protein complex assembly mark critical points of plastid transcriptional regulation.

What enzymes are involved in the transcription of the plastome? There are two DNA-dependent RNA polymerases (RNAPs) that transcribe the plastome—a bacterial-type, multi-subunit, plastid-encoded RNA polymerase (PEP) and a phagetype, single-subunit, nuclear-encoded RNA polymerase (NEP) (Liere and Börner 2007). The next sections will explore the discovery, structure, and activity of each of the polymerases.

# *1.3.1 PEP*

#### **1.3.1.1 Discovery of PEP**

The endogenous PEP enzyme was frst partially purifed from maize (Bottomley et al. 1971; Smith and Bogorad 1974), wheat (Polya and Jagendorf 1971a, b), and the single-celled microorganism *Euglena gracilis* (Hallick et al. 1976). PEP was shown to be a stable, multisubunit complex (Bottomley et al. 1971; Smith and Bogorad 1974). When purifed, the PEP transcription complex remained bound to chloroplast DNA and was able to transcribe this associated DNA. Therefore, the purifed DNA-RNA-protein complexes containing the PEP were termed plastid Transcriptionally Active Chromosome (pTAC) (Hallick et al. 1976; Briat et al. 1979).

Biochemical analysis demonstrated that the purifed PEP contains core bacterial subunits and therefore represents a bacterial-type plastid-encoded RNAP (Little and Hallick 1988; Hu and Bogorad 1990; Hu et al. 1991). Sequencing of the plastome revealed the genes homologous to the bacterial α, β, and β′ subunits: *rpoA, rpoB, and rpoC*, respectively. However, in plastids, the  $\beta'$  subunit is split into  $\beta'$  and  $\beta''$ , which are encoded by *rpoC1* and *rpoC2* (Ohyama et al. 1986; Shinozaki et al. 1986)—a feature shared by the ancestral cyanobacterial RNAP (Bergsland and Haselkorn 1991). In terms of organization, for most plant and algal lineages, *rpoB*, *rpoC1*, and *rpoC2* form an operon, while *rpoA* is part of a different operon that includes genes encoding ribosomal proteins (Liere and Börner 2007). Exceptions to this rule do exist, such as for *Chlamydomonas*, which displays fragmentation and rearrangement of these genes (Smith and Purton 2002). Despite these exceptions, overall, the core subunits of PEP are widely conserved among members of the green lineage, particularly the sequences of the catalytic domains of  $\beta$ ,  $\beta'$  and  $\beta''$ (Sutherland and Murakami 2018; Ruedas et al. 2022). The  $\alpha$  subunit is more involved in complex assembly and promoter binding and is less conserved than the catalytic domains.

The PEP core rarely exists or functions on its own. More commonly, PEP exists as part of a much larger supercomplex. Mass spectrometry (MS)-based proteomic analyses on the isolated pTAC complex from tobacco (*Nicotiana tabacum*) (Suzuki et al. 2004; Wu et al. 2024), Arabidopsis (Pfalz et al. 2006), and mustard (Steiner et al. 2011; Ruedas et al. 2022; Vergara-Cruces et al. 2024; do Prado et al. 2024) eventually identifed ffteen nucleus-encoded accessory proteins—named PEPassociated proteins (PAPs) (Steiner et al. 2011). Many of the PAPs follow a dual naming convention due to their progressive identifcation. The Arabidopsis PAPs and their common identifers are as follows: PAP1/pTAC3, PAP2/pTAC2, PAP3/ pTAC10, PAP4/FSD3, PAP5/pTAC12/HEMERA, PAP6/FLN1, PAP7/pTAC14, PAP8/pTAC6, PAP9/FSD2, PAP10/TrxZ, PAP11/MurE-like, PAP12/pTAC7/ω, PAP13/FLN2, PAP14/pTAC18 and PAP15/PRIN2 (Table 1.1).

The PAPs are mostly eukaryotic additions that are not present in the polymerases of bacteria. In *Chlamydomonas*, many PAP orthologues are similarly not found and the PAPs that are present might not associate with PEP due to the lack of conservation

Component	<b>Accession</b>	<b>Phenotype</b>	<b>Potential Interactors</b>
Core			
$rpoA/\alpha$	ATCG00740	Albino (De Santis- MacIossek et al. 1999)	PAP5 (Ruedas et al. 2022; Wu et al. 2024) PAP6 (Ruedas et al. 2022; Wu et al. 2024) PAP13 (Wu et al. 2024)
$rpoB/\beta$	ATCG00190	Albino (Allison et al. 1996; De Santis- MacIossek et al. 1999)	RpoC1 (Ruedas et al. 2022; Vergara-Cruces et al. 2024; Wu et al. 2024) RpoC2 (Wu et al. 2024) PAP3 (Wu et al. 2024) PAP5 (Vergara-Cruces et al. 2024) PAP1 (Wu et al. 2024)
$rpoC1/\beta'$	ATCG00180	Albino (De Santis- MacIossek et al. 1999)	RpoB (Ruedas et al. 2022; Vergara-Cruces et al. 2024; Wu et al. 2024) RpoC2 (Wu et al. 2024) PAP5 (Ruedas et al. 2022; Vergara-Cruces et al. 2024; Wu et al. 2024) PAP14 (Vergara-Cruces et al. 2024) PAP13 (Wu et al. 2024) PAP1 (Vergara-Cruces et al. 2024; Wu et al. 2024)
$rpoC2/\beta''$	ATCG00170	Untested, assumed albino	RpoB (Wu et al. 2024) RpoC1 (Wu et al. 2024) PAP3 (Wu et al. 2024) PAP5 (Wu et al. 2024) PAP11 (Vergara-Cruces et al. 2024) PAP9 (Vergara-Cruces et al. 2024; Wu et al. 2024) PAP1 (Wu et al. 2024) PAP2 (Wu et al. 2024)
$PAP12/\omega/$ pTAC7	AT5G24314	Albino/ivory (Pfalz and Pfannschmidt 2013; Yu et al. 2013)	PAP3 (Yu et al. 2013; Chang et al. 2017) PAP5 (Yu et al. 2013) PAP7 (Yu et al. 2013) <b>PAP6</b> (Yu et al. 2013) PAP1 (Vergara-Cruces et al. 2024)
<b>Scaffold Module</b>			
<b>PAP3/</b> pTAC10	AT3G48500	Albino/ivory (Steiner et al. 2011; Jeon et al. 2012; Chang et al. 2017)	RpoB (Wu et al. 2024) RpoC2 (Wu et al. 2024) PAP12 (Yu et al. 2013; Chang et al. 2017) <b>PAP5</b> (Wu et al. 2024) PAP7 (Chang et al. 2017) PAP4 (Vergara-Cruces et al. 2024; Wu et al. 2024) PAP9 (Wu et al. 2024) PAP6 (Wu et al. 2024) PAP10 (Chang et al. 2017)
<b>PAP5/</b> pTAC12/ <b>HMR</b>	AT2G34640	Albino/ivory (Pfalz et al. 2006a, 2015; Chen et al. 2010; Kendrick et al. 2022)	RpoA (Ruedas et al. 2022; Wu et al. 2024) RpoB (Vergara-Cruces et al. 2024) RpoC1 (Ruedas et al. 2022; Vergara-Cruces et al. 2024; Wu et al. 2024) RpoC2 (Wu et al. 2024) PAP12 (Yu et al. 2013) <b>PAP3</b> (Wu et al. 2024)

**Table 1.1** Constituents of the PEP supercomplex

in key interface residues (Pfalz and Pfannschmidt 2013; Díaz et al. 2018; Wu et al. 2024; do Prado et al. 2024). PAPs more frequently emerged in charophytes, the sister group of land plants, but the full PEP-PAP supercomplex evolved with land plants and most land plants have homologs of the PAPs (Wu et al. 2024). Therefore, PAPs evolved over time to become an important piece of the plastid transcriptional machinery in land plants, providing an additional layer of nuclear control over the plastid.

### **Tab. 1.1** (continued)



Components are colored by proposed functional module. Potential interactions only include interactions determined by crosslinking-MS or yeast two-hybrid assay. See Fig. 1.1 for additional interactions indicated by the cryo-EM structures

### **1.3.1.2 PEP Structure**

Recent structural studies using cryo-electron microscopy (cryo-EM) revealed the complete structure of PEP complexes from white mustard and tobacco (Vergara-Cruces et al. 2024; Wu et al. 2024; do Prado et al. 2024). PEP is a 21-subunit



**Fig. 1.1** The interaction network of the PEP supercomplex. PEP contains 21 subunits, 19 of which are unique. The colors correspond to the predicted functional modules in Table 1.1; the circle size is proportional to the size of the protein. Solid lines indicate that the interaction was confrmed by crosslinking-MS or yeast two-hybrid assay (Table 1.1). Dashed lines depict additional interactions that were found through cryo-EM (Vergara-Cruces et al. 2024; Wu et al. 2024; do Prado et al. 2024). Thinner lines pass behind at least one object, while thicker lines move directly between two objects

complex that contains six core subunits,  $\alpha_2 \beta \beta' \beta''$ , which are similar in structure and sequence to bacterial RNAPs (Ruedas et al. 2022; Vergara-Cruces et al. 2024; Wu et al. 2024; do Prado et al. 2024). A notable difference between bacterial RNAPs and the PEP core is the ω subunit. The core bacterial RNAP is  $\alpha_2 \beta \beta'$  (Zhang et al. 1999; Murakami et al. 2002; Vassylyev et al. 2002), where the ω subunit serves structural and functional roles (Patel et al. 2020). In the PEP, the nuclear-encoded PAP12 may be homologous to the ω subunit due to its similarity in folding and positioning within the PEP complex, as well as the conservation of key residues (Vergara-Cruces et al. 2024; Wu et al. 2024; do Prado et al. 2024). For the remainder of this chapter, PAP12 will be considered part of the PEP core. However, surprisingly, PAP12 is not present in all chlorophytes and charophytes, which raises some skepticism about whether it fully encompasses the roles of the  $\omega$  subunit or if it has more limited roles to promote stability (Wu et al. 2024; do Prado et al. 2024).

The PEP core evolved to handle extensive interactions with PAPs. Besides PAP12, PEP includes fourteen other PAPs, with thirteen unique PAPs and two copies of the thioredoxin subunit, PAP10. These PAPs encircle the interconnected core subunits, with each core subunit in close association with multiple PAPs (Fig. 1.1) and Table 1.1). To support these numerous interactions with eukaryotic PAPs, the

surface residues of the core are less conserved than the internal catalytic domains when compared with their bacterial counterparts (Ruedas et al. 2022). Further, PEP β″ contains a large lineage-specifc region known as sequence insertion 3 (SI3) that may have roles in both protein interaction and polymerase activity (Lane and Darst 2010; Windgassen et al. 2014; Mazumder et al. 2020). In bacteria, SI3 is relatively short, with only a couple of sandwich-barrel hybrid-motif (SBHM) domains (Artsimovitch et al. 2003; Qayyum et al. 2024). Meanwhile, SI3 in cyanobacteria contains more SBHM domains (Qayyum et al. 2024), but is still approximately 100 residues shorter than the PEP SI3 (Vergara-Cruces et al. 2024; Wu et al. 2024; do Prado et al. 2024). The larger SI3 in PEP makes frequent contacts with PAPs, connecting the core with these essential eukaryotic proteins (Vergara-Cruces et al. 2024; Wu et al. 2024; do Prado et al. 2024). Overall, the PEP supercomplex forms a star-shape with fve protruding arms created by the PAPs (Fig. 1.1) (Vergara-Cruces et al. 2024).

The positioning of PEP components is refected in mutant phenotypes, with more peripheral PAPs demonstrating less severe phenotypes. The PEP core itself is critical for chloroplast development. Loss of any of the core subunits results in albino seedlings that require an external carbon source, such as sucrose, in order to survive (Allison et al. 1996; De Santis-MacIossek et al. 1999; Chateigner-Boutin et al. 2008; Pfannschmidt et al. 2015). Similarly, the loss of any of the PAPs results in a chloroplast-defective phenotype, though PAP14 remains untested (Table 1.1). Intermediate forms of the complex may be too unstable to achieve full PEP function, leading to the defects observed when even a single PAP is lost (Pfalz and Pfannschmidt 2013; Pfannschmidt et al. 2015). In particular, the PAPs that are most important for maintaining the integrity of the large complex demonstrate an albino phenotype upon their loss. These include PAP1, PAP3, PAP5, PAP6, PAP7, PAP8, PAP10, PAP11, PAP12, and, potentially, PAP13, many of which are also positioned close to the core (Pfalz et al. 2006; Garcia et al. 2008; Arsova et al. 2010; Schröter et al. 2010; Chen et al. 2010; Steiner et al. 2011; Gao et al. 2011; Jeon et al. 2012; Yagi et al. 2012; Gilkerson et al. 2012; Pfalz and Pfannschmidt 2013; Yu et al. 2013; Huang et al. 2013; Chang et al. 2017; Grübler et al. 2017; Vergara-Cruces et al. 2024; Wu et al. 2024; do Prado et al. 2024). Meanwhile, null mutants of *PAP2*, *PAP4*, *PAP9*, and *PAP15* are pale, implying that carotenoid biosynthesis remains intact. Following their slightly more mild phenotypes, these components are positioned towards the periphery of the complex, where they may function in transcriptional activity (Pfalz et al. 2006; Myouga et al. 2008; Steiner et al. 2011; Kindgren et al. 2012; Gilkerson et al. 2012; Pfalz and Pfannschmidt 2013; Díaz et al. 2018). For dark-grown plants, the *pap* mutants have no noticeable phenotype, suggesting that the addition of the PAPs serves as a switch for PEP transcription in light in some organisms (Ruedas et al. 2022).

The organization of the PAPs in the PEP complex can be divided into four modules based on their location and predicted functions (Steiner et al. 2011; Kindgren and Strand 2015; Vergara-Cruces et al. 2024; Wu et al. 2024; do Prado et al. 2024). First, the scaffold module (PAP3, PAP5, PAP7, PAP8, PAP11 and PAP14) contains the major PAPs for establishing PEP architecture. Second, the protection module (PAP4 and PAP9) serves to shield the PEP from damaging reactive oxygen species. Third, the regulation module (PAP6, PAP10I, PAP10II, and PAP13) may be involved in redox-related processes. Finally, the RNA/DNA module (PAP1, PAP2, and PAP15) includes PAPs that may interact with nucleic acids during transcription. For a summary of the interactions of these modules and the core, see Fig. 1.1 and Table 1.1.

### 1.3.1.2.1 The Scaffold Module

The scaffold module serves as a docking site or bridge to connect the core with the other modules, with each PAP in the scaffold module felding diverse interactions. Through its numerous interactions, the scaffold module is a major coordinator of PEP architecture, setting the correct positioning of PAPs around the core. However, the scaffolding PAPs could also have functions outside of PEP stability, as seen by their conserved domains. For example, PAP7 has a SET domain that is shared by enzymes with histone lysine methyltransferase function (Gao et al. 2011; do Prado et al. 2024). Meanwhile, PAP11 contains partially conserved residues for the substrate binding and catalytic activity of a Mur ligase (Garcia et al. 2008; do Prado et al. 2024). Whether these domains contribute to the activities of the PEP complex remains unknown and the specifc roles of each of the PAPs requires further study.

### 1.3.1.2.2 The Protection Module

The protection module acts to defend the PEP complex from photosynthesis-related oxidation damage by converting precursors of reactive oxygen species to lessdamaging forms. In support of this, both PAP4 and PAP9 belong to the iron superoxide dismutase family and loss of either protein leads to sensitivity to oxidative stress (Myouga et al. 2008; Steiner et al. 2011; Pfalz and Pfannschmidt 2013). In the PEP supercomplex, PAP4 and PAP9 form a heterodimer (Myouga et al. 2008) that is docked to the core through the scaffolding PAPs, PAP3 and PAP14 (Wu et al. 2024).

### 1.3.1.2.3 The Regulation Module

The regulation module may inform PEP activity through involvement in redoxrelated processes. PAP10 has a thioredoxin motif and disulfde-bond reductase activity, while PAP6 and PAP13 both have phosphofructokinase domains (Arsova et al. 2010; Chibani et al. 2011; Gilkerson et al. 2012; Wimmelbacher and Börnke 2014; Díaz et al. 2018). However, the mode of action of these components and the signifcance of these domains remain to be determined. For example, the albino phenotype of *pap10* could be rescued by a catalytically deficient PAP10, with slight chlorosis in the rescued plants (Wimmelbacher and Börnke 2014).

In the PEP supercomplex, two units of PAP10 (PAP10I and PAP10II) each form a heterodimer with PAP6 and PAP13, respectively (Arsova et al. 2010). The scaffolding PAP that is most involved in connecting the regulatory module with the core is PAP5. Specifically, PAP5 interacts with an  $\alpha$  subunit to provide a bridge to attach the PAP6-PAP10I heterodimer to the PEP core, with the help of PAP3. On the other hand, the PAP13-PAP10II heterodimer is directly attached to the  $\alpha$  subunits (Ruedas et al. 2022; Vergara-Cruces et al. 2024; Wu et al. 2024; do Prado et al. 2024). PAP6 might also make direct contact with an  $\alpha$  subunit, which could have interesting mechanistic implications in the movement and controlled release of the  $\alpha$  subunits during transcription (Vergara-Cruces et al. 2024; Wu et al. 2024).

Of note, PAP15, which is currently placed in the RNA/DNA module, plays a critical role in the redox regulation of PEP activity. The light-dependent reduction of an intermolecular disulfde bond converts PAP15 from a dimeric form to a monomeric form that is required for PEP activation (Kindgren et al. 2012; Díaz et al. 2018). Continued research on the specifc functions of the PAPs within the PEP complex may result in assignment of PAPs to different or multiple modules.

#### 1.3.1.2.4 The RNA/DNA Module

The RNA/DNA module is split among several arms of the star-shaped structure (Fig. 1.1). These PAPs are thought to interact with nucleic acids. PAP1 was shown to bind chloroplast DNA and contains both a SAP domain and pentatricopeptide repeat (PPR) motifs (Yagi et al. 2012; Wang et al. 2024). While the SAP domain might interact with entering DNA during recruitment of PEP, the PPR motifs may instead be more involved in the scaffolding of the complex itself (Vergara-Cruces et al. 2024; Wang et al. 2024). Similarly, PAP2 has an SMR domain and PPR motifs, but the SMR domain may be more involved in PAP-PAP interaction than nuclease activity. (Vergara-Cruces et al. 2024). However, the PPR motif of PAP2 is positioned to interact with nascent RNA (Vergara-Cruces et al. 2024; Wu et al. 2024). As for PAP15, it does not contain a known domain for binding nucleic acids, but it can bind to DNA *in vitro* and may assist the PEP complex with DNA binding (Kremnev and Strand 2014; Vergara-Cruces et al. 2024). As for the other modules, further research is required to determine the functions of these domains within the complex.

The scaffolding proteins PAP8 and PAP7 dock PAP1 and PAP2, creating a region of PEP that could specialize in interacting with nucleic acids (Vergara-Cruces et al. 2024; Wu et al. 2024; do Prado et al. 2024). PAP1 interacts with PAP2 to position PAP2's PPR domain near where the nascent RNA exits the complex. PAP2's PPR domain could have sequence-specifc RNA-binding properties to bind a consensus sequence and direct polymerase pausing and downstream RNA processing events (Ruedas et al. 2022; Vergara-Cruces et al. 2024; Wu et al. 2024; do Prado et al. 2024). Therefore, together, the interaction between PAP1 and PAP2 endows the complex the ability to interact with entering DNA through PAP1's SAP domain and the ability to interact with nascent RNA through PAP2's PPR domain. As for PAP15, it binds directly to the β″-SI3 (Vergara-Cruces et al. 2024).

In addition, other PAPs outside of the RNA/DNA module could impact interactions with nucleic acids during transcription (Kindgren and Strand 2015; Pfannschmidt et al. 2015). For example, PAP3 contains an S1 domain with RNAbinding properties, but this domain might not be well-positioned in the PEP complex to interact with nucleic acids (Pfalz et al. 2006; Vergara-Cruces et al. 2024). Maize PAP5 has also demonstrated binding to single-stranded nucleic acids (Pfalz et al. 2015). Continued analysis of the activities of each of the PAPs is necessary to confrm their placement in each of these modules.

### 1.3.1.2.5 Sigma Factors and Promoter Recognition

The composition of PEP must accommodate the transient guidance by sigma  $(\sigma)$ factors. Similar to bacterial RNAPs, the PEP complex requires sigma factors for promoter binding and recognition (Burgess et al. 1969; Allison 2000; Schweer et al. 2010; Feklístov et al. 2014). The current models do not prohibit sigma factor binding and propose that sigma factors could associate with the complex by interacting with PAP11 (Vergara-Cruces et al. 2024).

Arabidopsis has six nucleus-encoded sigma factors (SIG1-SIG6) that recruit the PEP to similar consensus sequences as bacterial  $\sigma^{70}$ -type promoters, which have −35 (TGACA) and −10 (TATAAT) sequences (Gatenby et al. 1981; Liere and Börner 2007; Ortelt and Link 2021). The ancestors of plastid sigma factors, the bacterial  $\sigma^{70}$  family of proteins can be separated into three general categories in cyanobacteria: essential/nonessential proteins for viability, and alternative, stressresponsive proteins (Imamura and Asayama 2009; Yagi and Shiina 2016). Most, if not all, Arabidopsis sigma factors emerged from the essential sigma factor, SigA. The sigma factors that most likely branched off from SigA include SIG2, SIG3, SIG4 and SIG6, with the latter three emerging later from SIG2 itself (Shiina et al. 2009; Yagi and Shiina 2014, 2016; Pfannschmidt et al. 2015; Fu et al. 2021). While SIG3, SIG4 and SIG6 evolved in seed plants, SIG2 and SIG1 could have each emerged from a gene duplication event of SigA, or SIG1 might instead be derived from a different SigA homolog in the nonessential group of sigma factors (Pfannschmidt et al. 2015; Fu et al. 2021). Meanwhile, SIG5 is the most distinct among the six sigma factors. SIG5 may have evolved independently from the stressresponsive group in cyanobacteria, and appears orthologous to the *E. coli* sigma factor, RpoH, a stress-responsive protein (Fujiwara et al. 2000; Pfannschmidt et al. 2015; Yagi and Shiina 2016; Fu et al. 2021). Therefore, of the Arabidopsis sigma factors, SIG1, SIG2, and SIG5 are the most ancient, while SIG3, SIG4 and SIG6 evolved more recently.

Why does Arabidopsis contain so many sigma factors when the plastome itself is so small? One explanation is that sigma factors have been added over time in response to the high plastome mutation rate caused by photosynthetic stress. Point mutations, such as in promoter regions, could hinder recruitment of the PEP and negatively impact plastid functioning, but the nucleus could accommodate these changes through the evolution of additional sigma factors. This theory is endearingly known as the "spoiled kid hypothesis", where the buildup of mutations in the troublesome plastome is compensated for by the nucleus, creating surprising transcriptional complexity (Lerbs-Mache 2011; Lefebvre-Legendre et al. 2014). Perhaps this explains the frequent functional redundancy among the sigma factors, because, outside of the delayed greening of *sig2* and *sig6* mutants, there are few observable phenotypic differences upon the loss of a single sigma factor. However, more severe phenotypes emerge if two or more sigma factors are lost, and triple mutants can be lethal (Hanaoka et al. 2003; Ishizaki et al. 2005; Woodson et al. 2013; Bock et al. 2014; Chi et al. 2015).

Despite this functional overlap, the sigma factors do not only maintain transcriptional effciency by merely mitigating undesirable mutations. Later sections of this chapter will discuss how sigma factors have evolved specifc roles as transcriptional regulators to infuence PEP activity.

### *1.3.2 NEP*

#### **1.3.2.1 Discovery of NEP**

Even without PEP, the plastome is transcriptionally active, indicating that an alternative RNA polymerase must be able to function in the plastid. For example, deletion of *rpoB* in tobacco does not prevent plastid transcription (Allison et al. 1996) and the plastid-ribosome-defcient barley mutant, *albostrians*, similarly still displays plastid transcription (Hess et al. 1993). An even more extreme example is the parasitic plant *Epifagus virginiana*, which lacks genes encoding PEP subunits, yet still maintains plastid transcription (Morden et al. 1991). These observations indicated that a nuclear-encoded RNA polymerase must be operational in plant plastids to perform transcription even when PEP is not active.

The presence of NEP in land plants was fnally confrmed with the identifcation of organelle-targeted, nucleus-encoded proteins with strong sequence similarities to the RNA polymerase of T3/T7 bacteriophages (Hedtke et al. 1997; Emanuel et al. 2004). NEP is likely the newer polymerase for plastid transcription because it is absent in algae (Liere et al. 2011; Yagi and Shiina 2016). However, in land plants, monocotyledonous species can contain two NEP genes, while dicotyledonous plants can have three NEP genes. Monocots have both an NEP targeted to the plastid (RPOTp) and an NEP targeted to the mitochondria (RPOTm). The additional NEP in dicots can be targeted to either organelle (RPOTmp) (Hedtke et al. 1997, 2000; Ortelt and Link 2014). Conservation of sequences between the different NEPs suggests that the additional NEP genes arose from gene duplication events of *RPOTm* (Hedtke et al. 1997).

### **1.3.2.2 NEP Promoter Recognition**

Unlike the PEP complex, NEP functions as a single-subunit enzyme. RPOTmp and RPOTp likely serve redundant roles because the loss of one can be tolerated, though it appears that RPOTp is the predominant NEP in plastids, since its loss results in a more severe phenotype (Hricová et al. 2006). The loss of both RPOTp and RPOTmp is lethal, demonstrating the importance of NEP in land plants.

Due to its bacteriophage origin, NEP recognizes distinct promoters from PEP. In general, NEPs recognize two types of promoters (Weihe and Börner 1999; Liere and Börner 2007; Zhelyazkova et al. 2012; Ortelt and Link 2014; Börner et al. 2015). The most common promoter type is the Type I promoter, which has a YRT-element (pyrimidine-purine-thymidine). In dicots, a subclass of this promoter can be found, which contains both a YRT motif and a GAA-box. Type II promoters have a completely different sequence from the YRT-motif and are considered nonconsensus promoters. RPOTp and RPOTm have the intrinsic ability to recognize these promoter sequences *in vitro*, without assistance from a cofactor (Kühn et al. 2007). However, *in vivo*, currently unknown cofactors could be involved in directing NEP activity. On the other hand, RPOTmp, the newest of the three polymerases, seems to have lost the ability to recognize promoters as only a single-polypeptide enzyme (Kühn et al. 2007; Yagi and Shiina 2016). Nonetheless, RPOTmp is still involved in transcription of the plastome because it affects the accumulation of plastid transcripts (Baba et al. 2004)*.*

RPOTp and RPOTmp may exhibit some preferences between these promoter types (Courtois et al. 2007; Swiatecka-Hagenbruch et al. 2008). RPOTp appears to prefer the Type I motif, characteristic of it being the principal NEP in plastids. Meanwhile, RPOTmp prefers the nonconsensus Type II motif, found in promoters of *clpP* and the *rrn16* operon. However, despite the limited specifcity between the two plastid-targeted NEPs, they likely serve redundant functions, as RPOTmp can rescue transcription from most NEP promoters upon the loss of RPOTp (Swiatecka-Hagenbruch et al. 2008).

# *1.3.3 Shared or Divided Labor of the Plastid RNA Polymerases?*

NEP and PEP share the responsibility of transcribing the plastome, but do not seem to collaborate. Despite the range of proteins isolated in pTACs, NEP was not coisolated, suggesting that the two polymerases work independently (Pfannschmidt et al. 2015). During leaf development, NEP is activated frst and is typically considered the major RNA polymerase of housekeeping genes, while PEP is the major RNA polymerase of photosynthesis-associated genes (Hajdukiewicz et al. 1997; Emanuel et al. 2004). This division of labor is canonically used to divide genes into three classes, where genes transcribed by PEP are Class I, genes transcribed by NEP are Class III, and genes transcribed by both enzymes are Class II (Hajdukiewicz et al. 1997).

However, it may be too simplistic to say that NEP and PEP have a strict division of labor for most genes. Most plastid genes have both PEP and NEP promoters (Zhelyazkova et al. 2012) and can be transcribed in the absence of PEP (Silhavy and Maliga 1998; Krause et al. 2000; Legen et al. 2002; Swiatecka-Hagenbruch et al. 2007; Ortelt and Link 2021). In fact, there are far more promoters than genes in the plastome (Zhelyazkova et al. 2012). There could be 400 transcription start sites in the Arabidopsis plastome of ~130 genes, averaging at a transcription start site every 600-nt or so (Castandet et al. 2019). These promoters can be found all over the plastome, with transcription start sites in regions not only preceding genes, but also within genes, antisense to genes, or in intergenic regions. The abundance of transcriptional events has led to speculation that the plastome can be entirely transcribed, though perhaps not equally (Barkan 2011; Shi et al. 2016; Sanitá Lima and Smith 2017; Palomar et al. 2022).

There are still some genes that are mostly or completely transcribed by only one of the polymerases. For example, NEP is essential for the transcription of the *rpoBrpoC1*-*rpoC2* operon to initially generate the core subunits of PEP. NEP also largely transcribes other housekeeping genes like *accD*, part of the acetyl-CoA carboxylase for fatty acid biosynthesis, *clpP*, part of the Clp protease complex, and *ycf2*, an open reading frame that may be important in protein import (Hajdukiewicz et al. 1997; Silhavy and Maliga 1998; Börner et al. 2015; Ortelt and Link 2021; Puthiyaveetil et al. 2021; Palomar et al. 2022; Chang et al. 2023). On the other hand, PEP transcribes *psbA* and *rbcL*, the large subunit of ribulose bisphosphate carboxylase (Rubisco) (Ortelt and Link 2021). Considering the entirety of the plastome, PEP most frequently binds within the inverted repeats and large single-copy region (Palomar et al. 2022). However, PEP's presence is reduced in the small single-copy region, suggesting that NEP may be involved in transcribing these genes. Still, overall, plastid transcription by the polymerases is highly fexible and both can transcribe wide swaths of the plastome.

#### **1.3.3.1 Regulation of the Plastid RNA Polymerases**

NEP and PEP are regulated developmentally. Early in development, NEP is the predominant transcriber of the plastome (Emanuel et al. 2004) and NEP-associated transcripts, like *clpP*, tend to display the highest expression in younger tissues, like the base of a monocot leaf (Baumgartner et al. 1989, 1993; Sakai et al. 1992; Cahoon et al. 2004, 2008). Initial transcription of housekeeping genes prepares the plastid for chloroplast biogenesis because NEP is needed to transcribe the PEP core. PEP is canonically viewed as the predominant polymerase once it is produced and activated. However, the PEP core on its own has limited capabilities, and putting together the numerous components of the PEP supercomplex is complicated. Therefore, the assembly and activation of the PEP supercomplex itself is a major point of transcriptional control imposed on the plastid by the nucleus.

PEP assembly coordinates plastome transcription with light and developmental stage to accurately time chloroplast biogenesis. The remainder of this chapter will focus on the nuclear control of chloroplast development, which is accomplished through anterograde signaling. To explore plastid transcriptional control by the nucleus, we will discuss two models: chloroplast biogenesis during light-dependent seedling de-etiolation in dicots and chloroplast biogenesis during leaf development in monocots. Through these models, we will impart the importance of the PEP for collective control of the transcription of plastid photosynthesis genes.

# **1.4 Anterograde Signaling: Constant Dialogue Between the Nucleus and the Plastid**

# *1.4.1 Anterograde Signaling for the Control of PEP by Light*

Plastid gene expression is part of the developmental program of the host cell and, ultimately, is controlled by the host cell's nucleus (Taylor 1989; Pearce et al. 2017). However, the mechanism of nucleus-to-organelle communication, or anterograde signaling, has remained elusive for decades. The main challenge is the diffculty in teasing apart regulators from the battery of nuclear-encoded components required for organellar biogenesis and/or function (Taylor 1989). Finally, some anterograde signals may have been revealed by studying the role of photoreceptor signaling in the light-dependent regulation of PEP during de-etiolation of developing seedlings. This anterograde signaling not only controls the transcription of PhAPGs by PEP, but also synchronizes PhAPGs with PhANGs.

#### **1.4.1.1 The Process of Greening: De-etiolation**

The greening, or chloroplast biogenesis, of angiosperms (fowering plants) and some gymnosperms occurs only in the presence of light (Kirk and Tilney-Bassett 1978; Armstrong 1998). Light directly turns on chlorophyll biosynthesis in plastids by activating protochlorophyllide oxidoreductase to catalyze the fnal step of the biosynthesis pathway, the conversion of protochlorophyllide to chlorophyll a (Klein and Schiff 1972; Mapleston and Griffths 1980; Santel and Apel 1981). In parallel, as an environmental signal, light reprograms hundreds of genes in the nucleus to initiate the developmental transition from heterotrophic growth, which is supported by seed-stored energy, to autotrophic growth, which relies on photosynthesis (Kirk and Tilney-Bassett 1978; Chen et al. 2004). In dicotyledonous plants, such as *Arabidopsis thaliana*, young seedlings that germinate underground adopt a darkgrown developmental program called skotomorphogenesis (or etiolation), which inhibits leaf development and promotes elongation of the embryonic stem (hypocotyl), allowing seedlings to emerge quickly from the soil into the light. Plastids in

the leaves of dark-grown seedlings differentiate into photosynthetically inactive, non-green etioplasts. Upon illumination, seedlings undergo de-etiolation, a developmental transition to a light-grown developmental program called photomorphogenesis, which restricts hypocotyl elongation and promotes leaf development and chloroplast biogenesis.

#### **1.4.1.2 Phytochromes and the Sensing of Light**

Light is perceived by a suite of photoreceptors that play an essential role in initiating chloroplast biogenesis in both monocots and dicots (Takano et al. 2009; Strasser et al. 2010; Hu et al. 2013; Yoo et al. 2019). These include the red and far-red lightsensing phytochromes (phys) and the blue-light-absorbing cryptochromes (CRYs) (Chen et al. 2004). Here we will focus on phy signaling, because the mechanistic link between phy signaling and chloroplast biogenesis has been extensively studied. Phy is a bilin-containing pigment or a biliprotein that senses light using a covalently linked phytochromobilin as the chromophore (Rockwell et al. 2006; Burgie and Vierstra 2014). Light induces the isomerization of the C15-C16 carbon-carbon double bond of phytochromobilin between a C15-*Z* (*trans*) and a C15-*E* (*cis*) confguration, which in turn photoconverts phys between two relatively stable forms: a red light-absorbing, inactive phytochrome, Pr (C15-*Z*), and a far-red light-absorbing, active phytochrome, Pfr (C15-*E*) (Rockwell et al. 2006; Burgie and Vierstra 2014). In Arabidopsis, phys are encoded by fve genes, *PHYA-E*, among which the gene products of *PHYA* and *PHYB* are the predominant sensors of continuous far-red and red light, respectively (Sharrock and Quail 1989; Parks and Quail 1993; Reed et al. 1993; Whitelam et al. 1993).

One of the earliest light responses at the cellular level is the translocation of photoactivated phys from the cytoplasm to the nucleus, where phys are further compartmentalized to photosensory subnuclear domains named photobodies (Sakamoto and Nagatani 1996; Yamaguchi et al. 1999; Kircher et al. 1999; Chen et al. 2003, 2005; Van Buskirk et al. 2012). Nuclear-localized phys initiate photomorphogenesis, including chloroplast biogenesis, by inducing transcription of nuclear photosynthesis genes, the PhANGs (Leivar et al. 2008a, b; Shin et al. 2009; Li et al. 2012; Luo et al. 2014). Light-based signaling by phys also synchronously induces the transcription of PhAPGs (Link 1982; Thompson et al. 1983; Bennett et al. 1984). As photoactivated phys and CRYs localize only to the nucleus and not to plastids (Fankhauser and Chen 2008; Wang et al. 2018), they must regulate plastid gene expression via anterograde signaling.

#### **1.4.1.3 Light-regulated PEP Assembly and Transcription**

Although it was observed that plastid mRNA levels were infuenced by light, these light-induced changes could result from altered transcription of plastid genes or altered mRNA stability. Plastid run-on transcription assays were developed to distinguish between the two mechanisms (Mullet and Klein 1987; Deng et al. 1987). Using plastid run-on transcription assays, it was demonstrated that light-inducible transcription itself is a major control step during chloroplast biogenesis. However, intriguingly, the overall plastid transcription rates of individual plastid genes were similar. Therefore, differential accumulation of individual transcripts was mostly attributable to post-transcriptional regulation of RNA stability. These results raised the hypothesis that, unlike gene regulation in the nucleus, where cell signaling regulates individual genes via gene-specifc transcription regulators, light regulates PhAPGs by controlling the general, collective transcriptional activity of plastids (Deng and Gruissem 1987; Klein and Mullet 1990).

Biochemical studies of the pTAC complex in mustard (*Sinapis alba* L.) frst indicated that light regulates plastid transcription through the assembly of the PEP complex. While a 420-kDa PEP complex—made of only the core subunits—formed in etioplasts in dark-grown mustard seedlings, a 700-kDa PEP complex was enriched in chloroplasts in the light (Pfannschmidt and Link 1994). Both complexes were shown to be transcriptionally active (Pfannschmidt and Link 1994, 1997). The assembly of a 1 MDa PEP complex could also be induced by light in Arabidopsis, though no smaller intermediate complex was detected (Yang et al. 2019; Yoo et al. 2019). The discrepancies in the presence of the PEP core complex may be attributable to differences in the stability of the PEP core between the two plant species.

Light signaling to trigger PEP assembly is initiated by photoreceptors. Genetic analysis of PEP assembly in photoreceptor mutants in Arabidopsis seedlings under monochromatic far-red, red, or blue light conditions demonstrated that phys and CRYs can each individually initiate PEP assembly and PhAPG activation (Yang et al. 2019; Yoo et al. 2019; Hwang et al. 2022). PEP assembly can be blocked in *phyA-211* in far-red light, in *phyB-9* in red light, and in *cry1/cry2* in blue light (Yoo et al. 2019; Hwang et al. 2022). We discuss some of the mechanisms of this lightinduced signaling below.

#### **1.4.1.4 Degradation of Nuclear PIFs Triggers PEP Assembly**

Chloroplast biogenesis is repressed in darkness by a smaller family of basic/helixloop-helix transcription factors named PHYTOCHROME-INTERACTING FACTORs (PIFs). Both phys and CRYs regulate nuclear gene expression by inhibiting the stability and activity of PIFs (Al-Sady et al. 2006; Leivar and Quail 2011; Pedmale et al. 2016; Yoo et al. 2021). In Arabidopsis, PIFs include eight members (PIF1-8), of which PIF1, PIF3, PIF4, and PIF5 collectively repress PhANGs in the nucleus (Leivar et al. 2008b, 2009; Shin et al. 2009). In parallel, nuclear PIFs remotely repress the assembly of the PEP complex (Yang et al. 2019; Yoo et al. 2019). As such, PIFs act as master repressors of chloroplast biogenesis by synchronously turning off the expression of both PhANGs and PhAPGs. Consistent with this model, a dark-grown *pif1pif3pif4pif5* quadruple mutant (*pifq*) becomes deetiolated, morphologically mimicking wild-type Col-0 seedlings grown in the light. In *pifq*, plants show early signs of chloroplast biogenesis, such as the disappearance of the prolamellar bodies, the development of rudimentary pro-thylakoid membranes, and the activation of PhANGs and PhAPGs (Leivar et al. 2008b, 2009; Shin et al. 2009; Yang et al. 2019; Yoo et al. 2019; Hwang et al. 2022).

How do phys orchestrate massive transcriptional reprogramming in both the nuclear and plastid genomes? To trigger light responses, phytochromes must frst change conformation and subcellular location. In the dark, phys locate to the cytosol, where they are present in an inactive, red-light absorbing Pr form. With light, phys convert to an active Pfr form that moves into the nucleus (Yamaguchi et al. 1999; Kircher et al. 1999; Fankhauser and Chen 2008). In the nucleus, photoactivated phys, primarily phyB, initiate chloroplast biogenesis by releasing the PIFdependent repression of PhANGs and PhAPGs (Fig. 1.2). The active form of phyB interacts directly with all PIFs to promote their degradation (Legris et al. 2019; Cheng et al. 2021), attenuate their DNA binding (Park et al. 2012, 2018), and repress their transactivation activity (Yoo et al. 2021).

PIF1, PIF3, PIF4, and PIF5 are rapidly degraded during the dark-to-light transition in a phyB-dependent manner (Shen et al. 2005, 2007; Al-Sady et al. 2006; Lorrain et al. 2008; Pham et al. 2018). Binding of phyB promotes PIF3 phosphorylation by PHOTOREGULATORY PROTEIN KINASEs (PPKs) and subsequent ubiquitin-proteasome-dependent degradation (Al-Sady et al. 2006; Ni et al. 2014, 2017; Dong et al. 2017). Two E3 ubiquitin ligases have been identifed that



**Fig. 1.2** A model of chloroplast biogenesis during light-dependent seedling de-etiolation in dicots. Photoreceptors trigger a cascade of light-induced signaling that leads to the degradation of PIFs and the activation of PhANGs and PhAPGs. To activate PhAPGs, PEP assembly and activation are major regulatory steps that are informed by anterograde signals and the accumulation of plastid-localized, nuclear-encoded proteins. Once PhANGs and PhAPGs are expressed, chloroplast biogenesis is triggered

ubiquitylate PIF3, including the **c**ullin3-**R**ING E3 ubiquitin **l**igase (CRL), with LIGHT-RESPONSE BRIC-A-BRAC/TRAMTRACK/BROADs (LRBs) as the substrate recognition subunits (CRL $3<sup>LRB</sup>$ ), and the cullin1 E3, with EIN3-BINDING F BOX PROTEINs (EBFs) as the substrate recognition subunits (CRL1EBF) (Ni et al. 2014; Dong et al. 2017). In addition to regulating PIFs via direct interaction, phyB also promotes PIF degradation indirectly by inhibiting factors that stabilize PIFs, such as the CONSTITUTIVE PHOTOMORPHOGENIC 1 (COP1), SUPPRESSOR OF PHYTOCHROME A-105 proteins (SPAs), and DE-ETIOLATED 1(DET1), which constitute the CRL4<sup>COP1/SPA</sup> and CRL4<sup>COP1/DET1</sup> E3 ubiquitin ligases (Saijo et al. 2003; Sheerin et al. 2015; Lu et al. 2015). Phys, and also CRYs, interact directly with COP1 and SPAs to block the formation of the COP1-SPA complex in the light (Lian et al. 2011; Liu et al. 2011; Sheerin et al. 2015; Lu et al. 2015). The classic *constitutive photomorphogenic*/*de-etiolated*/*fusca* (*cop*/*det*/*fus*) mutants, such as *cop1-4*, *det1-1*, and *spa*, exhibit similar phenotypes as *pifq,* including constitutively expressed PhANGs and PhAPGs and assembled PEP complexes in darkness (Reed and Chory 1994; Wei and Deng 1996; Hwang et al. 2022). Therefore, phyB-mediated degradation of PIFs is a major switch to initiate chloroplast biogenesis and serves as a synchronization mechanism that coordinates the expression of nuclear and plastid photosynthesis genes (Fig. 1.2).

#### **1.4.1.5 Nucleus-and-Plastid Dual-Localized Regulators**

The anterograde signaling mechanism downstream of PIFs to control the activity of the PEP remains elusive. The anterograde signal is likely encoded by a lightinducible gene repressed in darkness by PIFs, either directly or indirectly. PIFs do not regulate PAPs at the transcript level (Yoo et al. 2019; Hwang et al. 2022); therefore, it is unlikely that PIFs regulate PEP assembly by transcriptionally regulating the expression of PAPs.

The discovery of positive regulators of chloroplast biogenesis has been hindered by the diffculty to distinguish chloroplast-defcient regulator mutants from other albino mutants for genes encoding essential components of the chloroplast (Taylor 1989). Fortunately, recent genetic studies of early phy signaling have serendipitously uncovered a new class of light mutants in Arabidopsis that exhibit a combination of albino and long-hypocotyl seedling phenotypes (Chen and Chory 2011). The founding member of this new mutant class, *hemera* (*hmr*), was identifed originally as a mutant defective in the localization of phyB to subnuclear foci called photobodies (Chen et al. 2010). The *hmr* mutant turns out to be defective in phyB signaling and chloroplast biogenesis (Pfalz et al. 2006; Chen et al. 2010). Surprisingly, HMR encodes the PAP5 subunit of the PEP, and it is dual-targeted to both plastids and the nucleus (Chen et al. 2010; Nevarez et al. 2017). In the nucleus, HMR/PAP5 is an acidic-type transcriptional activator that interacts directly with phys as well as PIFs to regulate the stability and activity of PIF1, PIF3, and PIF4 (Galvão et al. 2012; Qiu et al. 2015, 2019). The discovery of HMR/PAP5 revealed an unexpected link between nuclear phyB signaling and plastid transcription, showing that these two

spatially separated processes share common functional components (Fig. 1.2). In addition to HMR/PAP5, PAP8 is also dual-targeted to the nucleus and plastids. Similar to HMR/PAP5, PAP8 is required for phyB signaling in the nucleus (Liebers et al. 2020). Intriguingly, PAP8 forms a nuclear protein complex that may contain other PEP components (Liebers et al. 2020; Chambon et al. 2022).

Albino mutants had been ignored historically in the context of light signaling because chlorophyll-defcient mutants were thought to retain normal phy-mediated hypocotyl responses (Borthwick et al. 1951; Jabben and Deitzer 1979). As a result, the entire class of tall-and-albino mutants, like *hmr,* was overlooked (Chen and Chory 2011). A forward genetic screen for *hmr*-like tall-and-albino mutants identifed two additional, previously uncharacterized phy signaling components: REGULATOR OF CHLOROPLAST BIOGENESIS (RCB) and NUCLEAR-CONTROL-OF-PEP-ACTIVITY (NCP) (Yang et al. 2019; Yoo et al. 2019). RCB and NCP are two paralogous thioredoxin-like proteins that lack thioredoxin reductase activity (Yang et al. 2019). Intriguingly, like HMR, RCB and NCP also localize to both the nucleus and plastids. Although the biochemical functions of RCB and NCP remain unclear, nuclear RCB and NCP likely work in concert with HMR to regulate photobody formation and the PIFs (Yang et al. 2019; Yoo et al. 2019; Qiu et al. 2021). The key difference between RCB and NCP is that RCB regulates the PEP primarily from the nucleus, whereas NCP is required for both PIF degradation in the nucleus as well as PEP assembly in plastids. This conclusion is supported by the genetic evidence that the *rcb-10/pifq* mutant could rescue the albino phenotype of *rcb-10*, whereas the *ncp-10/pifq* mutant remained albino, like *hmr-5/pifq* (Qiu et al. 2015; Yang et al. 2019; Yoo et al. 2019). Therefore, the *rcb* mutant represents a unique type of albino mutant whose chloroplast defect is due to the accumulation of nuclear repressors of chloroplast biogenesis.

The dual localization of HMR/PAP5, PAP8, RCB, and NCP directly links the nuclear and plastid signaling mechanisms to the control of PhAPG transcription. All four proteins possess putative nuclear localization signals (NLSs) and transit peptides for chloroplast targeting. Intriguingly, the nuclear fractions of HMR/ PAP5, PAP8, RCB, and NCP share the same molecular masses as their respective chloroplast counterparts, which are smaller than the mature proteins—i.e., both nuclear and chloroplast fractions lack the transit peptides, which are presumably cleaved upon chloroplast import—suggesting that they localize to the plastids frst before being translocated to the nucleus (Nevarez et al. 2017; Yang et al. 2019; Yoo et al. 2019; Liebers et al. 2020). This dual targeting mechanism has been demonstrated for another nuclear and plastidial localized protein, Whirly1 (WHY1) (Grabowski et al. 2008), which is loosely associated with PEP in the nucleoid (Pfalz et al. 2006; Melonek et al. 2010) and required for chloroplast biogenesis and plastid genome repair (Prikryl et al. 2008; Cappadocia et al. 2010, 2012). Plastomeexpressed WHY1 in tobacco could translocate to the nucleus, providing strong evidence supporting the existence of a plastid-to-nucleus protein transport mechanism (Isemer et al. 2012). One possible mechanism is via stromules—narrow tubular structures that emanate from plastids (Caplan et al. 2015; Kumar et al. 2018; Lee et al. 2024). Further investigations are needed to elucidate the dual nucleus-plastid protein-targeting mechanism and the functional signifcance of these dual-localized proteins in PEP assembly and activation by light.

### **1.4.1.6 Other Nucleus-to-Plastid Signals: Sigma Factors**

Beyond proteins that are dual-localized to the nucleus and the plastid, sigma factors—due to their function in promoter selection—are critical nucleus-encoded regulators of PEP activity. Each sigma factor demonstrates unique roles in fnetuning PEP recruitment, including serving as nucleus-to-plastid anterograde signals during chloroplast biogenesis. This chapter will only briefly review the sigma factors and discuss their role in anterograde signaling. For more extensive details, refer to the following reviews (Lerbs-Mache 2011; Börner et al. 2015; Chi et al. 2015; Ortelt and Link 2021; Puthiyaveetil et al. 2021).

### 1.4.1.6.1 The Role of SIG1 in Photosystem Stoichiometry

Expression of the sigma factors is controlled by light (Allison 2000) and sigma factors can display a circadian rhythm (Oikawa et al. 2000; Noordally et al. 2013; Schneider et al. 2019). The interplay between the sigma factors and light could serve to protect the plastid during changing light conditions. Though not the only sigma factor involved in delivering light-based information, SIG1 specifcally plays a role in adjusting photosystem stoichiometry during fuctuating light (Lerbs-Mache 2011; Chi et al. 2015). SIG1 rapidly accumulates in light (Onda et al. 2008) and regulates the *psaAB* operon (Tozawa et al. 2007) to balance the abundance of the two photosystems, thereby maintaining proper electron transfer. Shorter wavelengths of light favor photosystem II, creating reducing conditions, while longer wavelengths of light favor photosystem I, creating oxidizing conditions. The redox conditions generated by this imbalance infuence the phosphorylation status of SIG1 to direct it in the regulation of *psaA* (Shimizu et al. 2010; Macadlo et al. 2020). In addition to its regulation of the *psaAB* operon, SIG1 displays a lightinfuenced binding pattern with a preference for the promoters of the *psbEFLJ* and *psbBT* operons (Hanaoka et al. 2012). Overall, this demonstrates that, along with being an important general housekeeping sigma factor, SIG1 is a light-controlled and redox-responsive sigma factor that might specifcally intervene to infuence the transcription of photosystem core subunits and protect the photosynthetic apparatus from light-induced damage.

### 1.4.1.6.2 The Developmental Roles of SIG2 and SIG6

SIG2 and SIG6 are seen as critical developmental sigma factors that are involved in the transcription of a wide assortment of PEP-dependent genes. The loss of even one of the two sigma factors results in an initial, PEP-defcient-like, pale green

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phenotype, signifying the importance of the factors for proper chloroplast development and functioning (Hanaoka et al. 2003; Ishizaki et al. 2005). SIG2 is associated with the transcription of tRNA genes such as *trnV-UAC*, *trnM-CAU* and *trnE-UUC*, as well as photosynthesis-associated genes such as *psaJ* and *psbD* from the −256 promoter (Kanamaru et al. 2001; Hanaoka et al. 2003; Nagashima et al. 2004a; Kanamaru and Tanaka 2004). Meanwhile, SIG6 activates many PEP-dependent photosynthesis-associated genes, especially early in development (Ishizaki et al. 2005; Loschelder et al. 2006). Comparison of PEP binding in *sig2* and *sig6* revealed their widespread presence along the plastome, but simultaneously displayed their specifcity, with the *sig2* mutation causing a particularly strong reduction for *trnE*, *trnY*, *trnD*, *trnL2*, *trnV1*, and *ndhC* (Palomar et al. 2022). On the other hand, the *sig6* mutation caused an overall strong reduction for most genes, but particularly *trnI1*.

### 1.4.1.6.3 The Narrow Roles of SIG3 and SIG4

Two of the more recently evolved sigma factors, SIG3 and SIG4, have specifc roles in the transcription of *psbN* and *ndhF*, respectively (Favory et al. 2005; Zghidi et al. 2007). For SIG3, its role in the transcription of *psbN* has implicated it in being involved in the regulation of the *psbB* operon (*psbB*-*psbT*-*psbH*-*petB*-*petD*). Interestingly, *psbN* is located within *psbT* but on the antisense strand, leading to the generation of RNA antisense to *psbT* (Zghidi et al. 2007). The frequent occurrence of antisense transcription in the plastid is unusual, but could have regulatory roles that remain to be deeply explored (Sharwood et al. 2011; Mitschke et al. 2011; Zghidi-Abouzid et al. 2011; Hotto et al. 2011). As for SIG4, the specifcity of this sigma factor for *ndhF* can be highlighted by tracing occurrences of SIG4 gene loss and concurrent absence or truncations of the *ndhF* gene (Pfannschmidt et al. 2015; Fu et al. 2021). The activity of SIG4 on *ndhF* may regulate the amount of NDH complexes present in the plastid (Favory et al. 2005).

### 1.4.1.6.4 The Stress-Responsive Roles of SIG5

SIG5 is induced by a variety of stresses (Nagashima et al. 2004b; Cano-Ramirez et al. 2023). In particular, SIG5 is involved in monitoring both the intensity and quality of light, which is demonstrated by its regulation of *psbD*. SIG5 controls alternative promoter usage of *psbD* during high light and other stress conditions (Christopher 1996; Nagashima et al. 2004b; Kanamaru and Tanaka 2004; Cano-Ramirez et al. 2023). *psbD* can be transcribed from several promoters, including two promoters −256 and −948 from the translation initiation site (Hoffer and Christopher 1997; Christopher and Hoffer 1998; Nagashima et al. 2004b). While SIG2 is involved in transcription initiation at the −256 position (Hanaoka et al. 2003), SIG5 controls initiation from the −948 site, known as the *psbD* lightresponsive promoter (*psbD*-LRP). In support of this, transcription from this site is abolished in *sig5* (Tsunoyama et al. 2004; Nagashima et al. 2004b; Shimmura et al. 2017). The extended 5′ untranslated region that is transcribed in high light could be involved in bolstering photosystem functioning during stress by boosting the replacement of the critical D2 protein of photosystem II. Further, not only does SIG5 direct alternative promoter recognition of *psbD*, it also informs the circadian rhythm of this operon and others by promoting oscillating transcription patterns (Noordally et al. 2013; Belbin et al. 2017; Cano-Ramirez et al. 2023).

# 1.4.1.6.5 Sigma Factors Are Anterograde Signals During Chloroplast Biogenesis

During de-etiolation, four sigma factors—SIG1, SIG3, SIG5, and SIG6—serve as nucleus-to-plastid signals for triggering PEP activation (Fig. 1.2) (Hwang et al. 2022). In etiolated seedlings, the expression of these sigma factors is repressed by PIFs. PhyB-dependent proteolysis of PIFs induces their expression in the light to promote PEP activity (Fig. 1.2). Current evidence indicates that PIFs are transcription activators (Yoo et al. 2021), so it is likely that PIFs repress sigma factors indirectly by transactivating unknown transcription repressor(s). In addition to their involvement in light-induced anterograde signaling, sigma factors could also infuence developmentally-regulated anterograde signaling. During wheat leaf development, the genes of multiple sigma factors, including *SIG1*, *SIG2-1*, *SIG2-2*, *SIG3*, *SIG5*, and *SIG6*, are acutely induced at defned leaf segments, indicating that sigma factors also play pivotal roles in the anterograde control of plastid transcription during the proplastid-to-chloroplast developmental transition (Loudya et al. 2021).

# **1.4.1.7 Modeling Chloroplast Biogenesis in Light**

An overview of the steps of chloroplast biogenesis in light can be seen in a pluripotent inducible cell line of single Arabidopsis cells (Dubreuil et al. 2018). Upon light exposure, these cells demonstrate two key regulatory phases as they shift from carrying proplastids (plastid progenitors) to containing mature chloroplasts. The frst phase is associated with large-scale gene expression changes in response to light, as the photoreceptors trigger a cascade of downstream signals, with more than 3000 genes showing differential expression. The second phase revolves around activation and development of the photosynthetic chloroplast, which similarly displays a massive shift of gene expression of more than 12,000 genes. During this time, nuclear signals control PEP assembly and, thereby, plastid transcription to achieve chloroplast biogenesis.

The pivotal communication between the nucleus and the chloroplast throughout this process is highlighted by plastid positioning during the second regulatory phase. Plastids start this phase in clusters around the nucleus to, presumably, maximize effcient communication between the two organelles. As chloroplasts develop, they shift away from the nucleus to the cell cortex. The anterograde signaling that takes place to initiate the process of chloroplast biogenesis is critical to give plants their characteristic green color. However, light is not the only factor that regulates chloroplast biogenesis. Developmental cues can play an even greater role in this process. Our fnal section will briefy discuss progress in this feld.

# *1.4.2 Control of Plastid Transcription During Monocot Leaf Development*

The linear developmental gradient of monocot leaves provides an ideal model to investigate the proplastid-to-chloroplast developmental transition. Across the developmental gradient of each leaf blade, there are basal, proliferating progenitor cells near the shoot apical meristem and distal, differentiated cells towards the tip of the leaf (Leech et al. 1973; Chotewutmontri and Barkan 2016). In a single leaf blade, plastids gradually differentiate from non-photosynthetic proplastids at the leaf base, to developing chloroplasts, then to young chloroplasts, and fnally to mature chloroplasts near the leaf tip. In contrast to the environmental control of chloroplast biogenesis during de-etiolation, because monocot leaf development occurs in the presence of light, the proplastid-to-chloroplast transition across the leaf blade represents a developmental control of chloroplast biogenesis.

In all monocot models examined, including maize, barley, and wheat, the proplastid-to-chloroplast transition follows two distinct developmental phases (Baumgartner et al. 1989, 1993; Chotewutmontri and Barkan 2016; Loudya et al. 2021). In the initial phase—where the host cell transitions from proliferation to differentiation—plastids also differentiate from proplastids to developing chloroplasts, replicating their DNA, dividing rapidly and growing in size. At this point, transcription in plastids is carried out mainly by the NEP, RPOTp (Loudya et al. 2021), which activates plastid genes associated with plastid transcription and translation. These include *rpoB*-*rpoC1*-*rpoC2* and genes encoding ribosomal proteins (Baumgartner et al. 1989, 1993; Chotewutmontri and Barkan 2016; Loudya et al. 2021). Concurrently, nuclear-encoded sigma factors and PAPs, such as PAP2, PAP3, PAP5, and PAP6, are activated to promote plastid gene expression by the PEP (Loudya et al. 2021). After the initial phase—when cells undergo a transition from cell differentiation to expansion—developing plastids fully differentiate to young chloroplasts, which is accompanied by build-up of the photosynthesis machinery. The PEP gradually replaces the NEP as the major RNAP in plastids and transcripts of PhAPGs, the primary targets of the PEP, peak in this phase. However, as chloroplasts continue to mature, plastome transcription declines.

Intriguingly, RCB and NCP are activated at distinct stages during wheat leaf development (Loudya et al. 2021). RCB is activated in the initial phase of chloroplast biogenesis, corroborating its central role in the nucleus to initiate chloroplast biogenesis (Yoo et al. 2019; Loudya et al. 2021). In contrast, NCP is activated only at the leaf tip, suggesting a role during chloroplast maturation (Yang et al. 2019;

Loudya et al. 2021). Together, the studies using monocot models highlight the importance of controlling plastid transcription during proplastid-to-chloroplast differentiation and reveal that developmental cues control plastid transcription by orchestrating two distinct anterograde mechanisms to activate the NEP and PEP sequentially during the two phases of chloroplast biogenesis.

# **1.5 Concluding Thoughts**

Plastid transcription is a key part of energy production, biosynthesis, and development in photosynthetic organisms. As such, the nucleus strictly regulates the plastid genome. Using anterograde signaling, the nucleus directs chloroplast biogenesis during de-etiolation and leaf development through the comprehensive regulation of plastid transcription itself. To do this, PEP assembly and activity are key points of control to establish plastome expression.

We have only begun to listen in on the light-based conversations that take place during the etioplast-to-chloroplast conversion and the developmental proplastid-tochloroplast conversion. Many questions remain surrounding both PEP structure and overall nucleus-plastid communication. The cryo-EM structure of the PEP supercomplex revealed a complicated web of interactions between the PEP core and PAP subunits, but further research is needed to determine the specifc roles of each PAP and how these roles support PEP activation and nuclear control of chloroplast function. The order of assembly of the massive PEP complex also remains a mystery, as does the existence of alternate assemblies during different stages of transcription. Overall, the construction of such a large complex for the transcription of a relatively small genome underscores the conclusion that the assembly of PEP itself is a major point of control for plastid transcription. Additionally, the identities and mechanisms of anterograde signals that trigger light-based and developmentally-controlled PEP assembly remain unresolved. An array of nucleus-encoded proteins, such as sigma factors, PAP5, PAP8, RCB and NCP, seem to be involved in the communication pathways that lead to chloroplast biogenesis, but their specifc roles are unknown.

On its surface, transcription of the relatively small plastome appears deceptively simple. In actuality, an exciting array of regulatory pathways are required for the activation of these PhAPGs to spur chloroplast biogenesis. Future work in this feld will continue to unravel inter-organellar signaling mechanisms to broaden our understanding of a critical, bacterial transcription system within eukaryotic cells.

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